

United Nations Development Programme

Afghanistan

[National Disaster Management Project] ([NDMP])

Third Quarter Progress Report (July – September 2011)



[Sharing and finalizing "Strategic Development Plan" Kabul University, Department of Environmental Protection and Disaster Management"]

Project ID: 00073939

Project Period: 2010 - 2011

Strategic Plan Component: Crisis Prevention and Recovery

CPAP Component: Livelihood, natural resources management and DRR

ANDS Component: Pillar 3, social protection sector

Total Budget: USD 2,199,700

Responsible Agency: UNDP

National Disaster Management Project

Donors

United Nations Development Programme (UNDP) and
Bureau for Crisis Prevention and Recovery/UNDP



List of Acronyms:

ANDMA	Afghanistan National Disaster Management Authority
ANDS	Afghanistan National Development Strategy
BCPR	Bureau for Crisis Prevention and Recovery
CDRRP	Comprehensive Disaster Risk Reduction Project
CPAP	Country Programme Action Plan
DEPDM	Department of Environmental Protection and Disaster Management/ KU
DIM	Direct Implementation Modality
DM	Disaster Management
DRR	Disaster Risk Reduction
ECO	Economic Cooperation Organization
ICIMOD	International Centre for Integrated Mountain Development
I/NGOs	International/ National Non-governmental Organizations
KU	Kabul University
LM	Line Ministry
NDMP	National Disaster Management Project
UNDAF	United Nations Development Assistance Framework
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme

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Executive Summary

During 2011, the project under output 1 “National Institutional Disaster Management Capacity enhanced” focuses on following five activities: 1) ANDMA’s structural and capacity assessment; 2) National Disaster Risk Management Act review; 3) Support to Kabul University, Department of Environment Protection and Disaster Management to develop Strategic Development Plan-2011-2015; 4) Provide technical advisory services on disaster risk management to ANDMA and 5) training on early recovery for humanitarian cluster members.

The key achievements of the third quarter (July – September 2011) were:

- ANDMA’s detail and comprehensive capacity assessment completed and final report with action points submitted to both UNDP and ANDMA. Based on this assessment report, ANDMA is mobilizing resources to strengthen its technical and functional capacity. In this regard, ANDMA recently signed a memorandum of understanding (MoU) with International Migration Organization (IOM). With this MoU, IOM will provide about 40 additional staff for ANDMA both at headquarters and provinces levels, equipment (computer, photocopy machine, etc.) and vehicles.
- Disaster Management Act revised. Based on ANDMA’s request, NDMP revised the existing Disaster Management Act, which is currently in Parliament for discussion. The revised Act will shift the disaster management paradigm from post disaster focused to disaster risk reduction. Once it is endorsed by the Parliament, the revised Disaster Management Act will provide an opportunity to mainstream disaster risk reduction into development through regular planning process.
- Kabul University, Department of Environmental Protection and Disaster Management (DEPDM) has now the Strategic Development Plan (2011 – 2015). This Plan will enable KU/DEPDM to mobilize resource both financial and technical to strengthen it as an Centre of Excellence in the field of disaster management and environmental protection in Afghanistan.

I. Context

Afghanistan is recurrently hit by natural disasters causing losses of lives, livelihoods and property. In recent decades, this has led to massive problems of food insecurity and population exodus from the worst-hit areas. Vulnerability to disaster risk has increased significantly as coping capacities have been eroded due to the prolonged conflict.

The Islamic Republic of Afghanistan in partnership with the United Nations (UN) and other development organizations has developed key policy documents such as the Disaster Management Framework, National Strategy for Disaster Management and National Disaster Management Plans since 2003. The National Disaster Management Commission, under the leadership of the Second Vice President, has been established and the Afghanistan National Development Strategy (ANDS) has been developed where Disaster Management (DM) has been targeted within the Social Protection Sector with a target statement saying "by 2010 an effective system for disaster preparedness and response will be in place". Despite the rapid progress made in the past few years, there is urgent need for strengthening legal and policy frameworks, and developing capacity of ANDMA and key ministries for effective disaster risk reduction and response.

The NDMP was formulated in keeping with the United Nations Development Assistance Framework (UNDAF) priorities and ANDS benchmarks. The project's goal was, *"to reduce the level of community vulnerability to natural and human induced disasters down to manageable and humanitarian levels"*. The project continues making progress to achieve this goal by strengthening the capacity of the Afghanistan DM system to reduce risks and improve response and recovery management at the national, provincial and community levels. This will result in achieving UNDP Country Programme Action Plan (CPAP) output 5.4 – government and communities have better capacity for DRR and United Nations Development Assistance Framework (UNDAF) output 5 – improved capacity to manage natural resources to support poverty reduction and dispute resolution and reduced vulnerability to natural disasters and outcome 5.3 – national and local institutions have enhanced capacities to manage disaster risk.

The main implementing partner of this project, being directly implemented by UNDP as per DIM modality, is ANDMA as the main government counterpart. Besides ANDMA, other main stakeholders at the national level, in the implementation of NDMP are key Line Ministries (LMs), UN agencies, I/NGOs and Kabul University.

The direct beneficiaries of the NDMP are: i) ANDMA and selected government institutions; and ii) Kabul University, Department of Environmental Protection and Disaster Management. The indirect/secondary beneficiaries are selected I/NGOs including Red Crescent movement and UN agencies through sharing of information, technical advisory services and networking.

II. Results and Impact

Output 1: National Institutional Disaster Management Capacity enhanced.

Indicators: Provincial Disaster Management Plan (DMP) developed for 20 provinces, National DMP revised, National DRR capacity need assessment completed, Capacity assessment of ANDMA completed, National DM Act revised, Strategic Development Plan for Kabul University/ DEPDM developed, and technical advisory services to ANDMA on DRM issues.

Target for the Year 2011: The targets for 2011 are capacity assessment of ANDMA, National DM Act revision, Strategic Development Plan for Kabul University, Department of Environmental protection and Disaster Management (KU/DEPDM) drafted, and technical advisory services to ANDMA on DRM issues.

Results and Impacts:

- 1.1 Capacity Assessment of ANDMA:** A comprehensive and detailed capacity and structural assessment of ANDMA with the technical support from UNDP Regional Centre Bangkok was completed during this quarter of 2011. Final report was submitted to ANDMA and UNDP at the end of this quarter. ANDMA officially endorsed the assessment report and mobilizing resources to implement activities based on the report to enhance its functional and technical capacity in the field of disaster risk management. In this regard, ANDMA recently signed a MoU with IOM. Under this MoU, IOM will provide about 40 additional staff for ANDMA both at headquarters and provinces levels, equipment (computer, photocopy machine, etc.) and vehicles.
- 1.2 National Disaster Management Act Revised:** As requested by ANDMA, NDMP reviewed and revised the National Disaster Management Act from a risk reduction perspective as opposed to the earlier Act which focused on post-disaster relief and rescue activities. ANDMA has already submitted the revised Act to the Parliament for their review and endorsement. Once it is endorsed by the Parliament, the revised Disaster Management Act will provide an opportunity to mainstream disaster risk reduction into development through regular planning process and very clear role and responsibility to several key government institutions.
- 1.3 Strategic Development Plan for Kabul University/ DEPDM:** Kabul University, Department of Environmental Protection and Disaster Management (KU/DEPDM) has been running the undergraduate programme on environmental protection and disaster management since 2008. As a new Department (DEPDM) established only in 2008, it lacks any long term development planning. Therefore, on the request of KU/ DEPDM, NDMP took the lead role in drafting a five year Strategic Development Plan (SDP) for KU/ DEPDM. This SDP will enable KU to further strengthen its Department, through trainings for its faculties, mobilize resources and develop the DEPDM as "Centre of Excellence" in the field of DRM. Now the KU/ DEPDM has the Strategic Development Plan (2011 – 2015). Based on this SDP, KU/ DEPDM will mobilize additional resources to strengthen its undergraduate and graduate programmes and pursue empirical researches.

1.4 Technical advisory services to ANDMA on different aspects of DRM: NDMP continuously provides technical advisory services to ANDMA. With NDMP's technical support, ANDMA organized the Economic Cooperation Organization (ECO) regional workshop (Afghanistan, Azerbaijan, Iran, Kazakhstan, Kyrgyz Republic, Pakistan, Tajikistan, Turkey, Turkmenistan, Uzbekistan) on Capacity Development for DRR, mobilized resources to organize this workshop, 4 ToRs developed to bring 4 Advisors under Civilian Technical Assistance Programme (CTAP), and 4 brief project documents developed to mobilize additional resources.

1.5 Trainings/ Orientation on ER: During this quarter, NDMP with the support from ER Advisor organized two national level trainings/ orientations on ER for Cluster members and other humanitarian actors including governmental and non-governmental organizations. These trainings/ orientations enabled about 40 cluster members to better understand the meaning of ER and how ER can be mainstreamed in respective clusters.

Activity Result	Brief description of results/achievement	% of progress rate/delivery
1.1 Capacity assessment of ANDMA	A comprehensive and detail capacity and structural assessment of ANDMA completed and final report submitted. ANDMA officially endorsed the assessment report and mobilizing resources to implement activities based on the report to enhance its functional and technical capacity.	100%
1.2 National Disaster Management Act revised	NDMP reviewed and revised the National Disaster Management Act from a risk reduction perspective. The revised Act is submitted to the Parliament for their review and endorsement.	100%
1.3 Strategic Development Plan for Kabul University/ Department of Environmental Protection and Disaster Management (KU/DEPDM)	NDMP took the lead role in drafting a Strategic Development Plan (SDP) for KU, DEPDM. This SDP will enable KU to further strengthen its Department, through trainings for its faculties, mobilize resources and develop it as a "Centre of Excellence" in the field of DRM.	100%
1.4 Technical advisory services to ANDMA on different aspects of DRM	With NDMP's technical support, ANDMA organized ECO regional workshop on Capacity Development for DRR, mobilized resources, 4 ToRs developed to bring 4 Advisors under CTAP, and 4 brief project documents developed to mobilize additional resources.	100%

1.5 Trainings on Early Recovery (ER)	NDMP with the support from ER Advisor organized two national level trainings/ orientations on ER for Cluster members and other humanitarian actors.	100%
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III. Implementation Arrangements

The National Disaster Management Project is being implemented under Direct Implementation Modality (DIM) for a period of two years (2010 – 2011) with the financial support from UNDP and UNDP/Bureau for Crisis Prevention and Recovery (BCPR). A small project management team of NDMP staff are based at ANDMA building and work closely with ANDMA and other stakeholders. NDMP prepares monthly, quarterly and annual progress reports and shares such reports with government agencies, donors and other appropriate agencies regularly.

Being physically situated in the ANDMA building, the NDMP not only implements its activities in close partnership/ consultation with ANDMA, but also assists ANDMA to implement its own activities, networking, coordination, resource mobilization, reporting and moreover take leading role in designing and implement activities related to DRM.

IV. Issues and Risks

Issues and Risks

During the implementation of the project in 2011, the project encountered following issues and risks:

Issues:

- Limited financial resources available for the disaster risk reduction activities at all levels.

Many government and non-government agencies including donors still focus their support on post-disaster activities and conflict. Therefore, there are inadequate financial resources to design and implement disaster risk management activities. However, ANDMA is trying its best with its limited trained human resources to mobilize additional financial and human resources to design and implement disaster risk management activities. Similarly, NDMP developed a project document for next 5 years to implement priority activities under Hyogo Framework for Action to be implemented within 2015.

- Trained and motivated human resources in the field of disaster risk reduction at all levels are very limited.

Due to prolonged conflict in the country, as of now there is no any institution that can train local human resources in the field of disaster management. Due to unavailability such training opportunities within country, despite of the need of large number of trained human resources

on disaster risk management, Afghanistan doesn't have adequate trained human resources in this field. With this backdrop, NDMP has been supporting, Kabul University, Department of Environmental Protection and Disaster Management to design and run undergraduate programme on disaster management for last 3 years.

- Coordination of DRR actors at both national and provincial levels is very weak.

In the recent, few I/NGOs have been directly or indirectly working in the field of disaster risk management largely at local level. However, due to weak coordination both at national and decentralized levels, there are duplications of efforts in some areas and unable to reach many disasters hard hit areas. Similarly, due to weak coordination, many agencies have been developing several guidelines and formats for project/ activity implementation. In this regard, NDMP has supported ANDMA to enhance coordination both at national and provincial levels through organizing of meetings, developing guidelines (such as community based disaster risk management) and post disaster need assessment formats.

Risks

During 2011, the project focused on technical policy advisory support on DRR at national level. In general the technical capacity on disaster risk management/reduction is very weak in the country. This will create a vacuum in the country to design appropriate disaster risk management/ reduction activities and its successful implementation.

In this regard, NDMP supported ANDAM to complete two capacity assessment exercises namely; national capacity need assessment on DRR and detail and comprehensive assessment of ANDMA.

V. Lessons Learnt

Afghanistan is prone to varieties of natural disasters such as drought, flood, landslide, earthquake, avalanches, extreme weather conditions, locusts causing more than 900 deaths, above 300 thousand affected and more than USD 5 million economic damages in an average annually for last 20 years (1991-2010)¹. The inadequate policy measures and its weak enforcement make Afghanistan more vulnerable to varieties of natural disasters. Likewise, the unpredictable financial resources in the field of disaster management further aggravate the situation.

VI. Future Plan

Based on the challenges/ issues/ risks and lessons learnt, following are the priority activities for the fourth quarter of 2011:

- Lobby/ assist ANDMA to get official endorsement of policy documents (Disaster Management Act, National DM Plan, ANDMA's capacity assessment report, SNAP, and

¹ www.emdat.be

guidelines for the effective and transparent use of the National Emergency Fund) by the National Disaster Management Commission;

- Assist ANDMA to mobilize resources both trained human resources and financial to successfully implement its capacity development activities as suggested in the capacity assessment reports.
- Provide technical advisory services to ANDMA on networking and coordination.

I. Financial Section:

Table 1. Total Income and Expenditure

Donor	Income			EXPENDITURES			BALANCE	Remarks
	Total Commitment (approx US\$) (a)	Total Received (approx US\$) (b)	Total Receivable (approx US\$) (c = a-b)	Total Cumulative Expenditures as of Dec 2010 (d)	Total Expenditure for the period of Jan to June 2011 (e)	Total Expenditures f= (d+e)	Total Received minus Total Expenditures (g = b-f)	
UNDP-Core	1,356,812	1,356,812	0	903,812	293,390	1,197,202	159,610	
UNDP-BCPR	406,958	406,958	0	406,958	-1,639	405,319	1,639	USD 1639 the 2010 obligation balance returned to project
Total	1,763,770	1,763,770	0	1,310,769	291,751	1,602,520	161,249	

**Table 2. Expenditure by Major Outputs
(2011 Third Quarter)**

Project Output	Budget (AWP 2011)	Cumulative Expenditure as of the current Quarter	Delivery Rate*	Remarks
Output1: National Institution DM Capacity enhance	52,000	9,454	18%	
<i>GMS</i>	0			
Sub-total Output 1.	52,000	9,454	18%	
Output2: Project support cost	401,000	283,936	13%	
Sub-total Output 2	401,000	283,936	71%	
Grand Total	453,000	293,390	65%	

Table 3. Expenditure by Donors (2011)

Donor	Project Output	Budget (AWP 2011)	2011 Cumulative Expenditure as of the current Quarter	Delivery Rate*
UNDP -Core	Output1: National Institutional DM Capacity enhance	52,000	9,454	18%
	Output2: Project support cost	401000	283,936	13%
Grand- Total		453,000	293,390	65%

Note:

- i) USD 23K reflected as expenditure in 2011, under activity2 is the result of revalue of outstanding purchase order raised in EURO.

VII. Detailed Reporting on Results and Impact (Reporting on each output)

Output 1: National Institutional Disaster Management Capacity enhanced.

Indicators: Provincial Disaster Management Plan (DMP) developed for 20 provinces, National DMP revised, National DRR capacity need assessment completed, Capacity assessment of ANDMA completed, National DM Act revised, Strategic Development Plan for Kabul University/ DEPDM developed, and technical advisory services to ANDMA on DRM issues.

Target for 2011: Capacity assessment of ANDMA, National DM Act revision, Strategic Development Plan for Kabul University/ DEPDM drafted, and technical advisory services to ANDMA on DRM issues.

Gender Rating of the Output: (0 to 3)

Activity Result	Baseline information	Performance Target	Description of results/achievement	% of progress rate/delivery
1.1 Capacity assessment of ANDMA	No capacity assessment of ANDMA since its establishment in 2007.	A detail and comprehensive capacity and structural assessment of ANDMA.	A comprehensive and detail capacity and structural assessment of ANDMA completed with the technical support from UNDP Regional Centre Bangkok.	100%
1.2 National Disaster Management Act revised	Afghanistan Disaster Management Act enacted in early 80s, is focused on disaster relief and response only and doesn't focus on risk reduction.	Revised the existing Disaster Management Act from disaster risk reduction perspective and linking disaster with development.	As requested by ANDMA, NDMP in close consultation with key disaster management actors reviewed and revised the National Disaster Management Act.	100%
1.3 Strategic Development Plan for Kabul University/ DEPDM	Kabul University established the DEPDM in 2008. Few development agencies are supporting DEPDM to design and run the undergraduate programme on disaster risk	A succinct Strategic Development Plan for KU/ DEPDM to effectively design and run its academic programme(s) on disaster management	NDMP took the lead role in drafting a five year Strategic Development Plan (SDP) for KU, DEPDM. Several rounds of meetings/ consultation were organized among disaster management actors and based on the	100%

	management. However, there is no any road map or strategic development plan how this DEPDM will run and sustain its academic programmes.	and its faculty development plan.	inputs from different key stakeholders; this plan was developed, finalized through a national workshop and endorsed by the KU.	
1.4 Technical advisory services to ANDMA on different aspects of DRM	ANDMA doesn't have any professional and well trained human resources to assist ANDMA to fulfill its responsibilities effectively and efficiently.	To provide technical advisory services to ANDMA in different aspects of disaster risk management (DRM).	NDMP continuously providing technical advisory services to ANDMA. With NDMP's technical support, ANDMA organized ECO regional workshop , mobilized resources, 4 ToRs developed to bring 4 Advisors under CTAP, 4 brief project documents developed to mobilize additional resources, etc.	100%
1.5 Trainings on Early Recovery (ER).	No any training or orientation programme designed and organized on ER in Afghanistan	Trained inter agency Cluster members and government officials on ER.	NDMP with the support from ER Advisor organized 2 national level trainings/ orientations on ER for about 40 Cluster members.	100%

Gender Ratings:

1= Gender equality is not one of the reasons for having this output. Nevertheless, some aspects of the output- perhaps one of the activities- is expected to promote gender equality.

Policy and Knowledge Products

Name of Project: National Disaster Management Project

Year: 2011

SN.	Policy/Knowledge Products	Authors	Stakeholders Consultations	Date of Completion	Total Budget or Cost in USD
1	National Disaster Management Act revised	Man B. Thapa, Project Manager, NDMP	Several rounds of consultations of DRM stakeholders	August 2011	
2	Strategic Development Plan for KU/DEPDM	NDMP/UNDP	Several rounds of meetings with UNEP, ICIMOD, DRR actors, ANDMA, KU, etc.	September 2011	5,000
3	ANDMA's Capacity Assessment Report	NDMP/UNDP	Rounds of meeting with ANDMA staff, DRR actors, UN agencies, etc.	August 2011	10,000
4	Early Recovery Strategy	UNDP	Several meetings with Humanitarian Clusters	July 2011	10,000

Policy/Knowledge Products: these are in the form of legislations, regulations, strategies, policy papers, publications, workshop reports, manual etc.

Training and Capacity Building Outputs

Project:

Year:

SN	Training or Capacity Development Outputs/Event	Date and Location	Beneficiary Organizations	Number Trained	Impact	Total Cost or Budget in USD
1	Training/ workshop on Early Recovery (ER)	18 July 2011	Humanitarian cluster members	19 (5 female)	Participants have clear understanding about ER, ER network and its role.	1,000

Training/Capacity Building Outputs are trainings, workshops, study tours, on-the Job Training etc. Provide information disaggregated by gender as far as possible.

RISK LOG

Project Title: National Disaster Management Project	Award ID: 00044487	Date: 30 September 2011
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#	Description	Date Identified	Type	Impact & Probability	Countermeasures / Management response	Owner	Submitted , updated by	Last Update	Status
1	Security constraints for NDMP to design and implement activities at the province, district and community levels.	2010 January	Security	High	1. Continue working with ANDMA and exploring possibilities to work with other local level I/NGOs working in the field of DRR at these levels.	NDMP	Man B. Thapa	July 2011	Continuous
2	Insufficient institutional capacity, ownership and institutional development of ANDMA. Tended to result in lack of sustainability/ results of DRR related activities.	January 2010	Technical/ operational	Medium	1. Bringing ANDMA on fore front while developing and implementing project activities, 2. Continue raising ANDMA's technical capacity through trainings and hardware support.	ANDMA/ UNDP/ND MP	Man B. Thapa	July 2011	Continuous
3	Unpredictable funding situation for long term DRR programme design and implementation.	2010 July	Financial/ economic, political	Medium	Based on the ANDMA's capacity assessment, new project document will be drafted for next 5 years and will explore funding possibilities both within and outside UNDP.	UNDP CO	Man B. Thapa	July 2011	Continuous

ISSUES LOG						Date: 30 September 2011
Award ID: 00044487 Project: National Disaster Management Project						
	Type	Date Identified	Description	Status/Priority	Status Change Date	Author
1	DRR technical capacity in the at all country at all levels is very weak	01.01.2010	1. Completed the national DRR capacity need assessment in 2010. 2. Based on the assessment, several trainings at national and province levels on different aspects of DRR have been organized in close cooperation with ANDMA and other stakeholders. 3. ANDMA's capacity assessment completed recently. Based on this assessment, several capacity development activities specific to ANDMA will be designed and implemented in close partnership with ANDMA and other DRR actors	1. Implementation of activities in progress.	July 2011	Man B. Thapa