

Aliceghan Returnee/IDP Settlement - 00051619

RISK LOG - 29.07.09

Risk identification											Risk Management			
ID	Date (Author)	Type	Description	Evaluation			Decision	Date	Mitigation measure / comments	Owner	Current Status			
				Impact	Probability	Risk level								
				Critical Severe Moderate Minor Negligible	Certain Very likely Likely Seldom Unlikely	Extreme High Medium Low Negligible	Prevent Reduce Transfer Accept				Disappeared Decreasing No change Increasing			
1	28/09/2006 (Project Doc)	Organisational	Inability of the BSC and the beneficiary selection process to select beneficiaries in accordance with the project objectives and beneficiary eligibility criteria.	Severe	Likely	Medium	Reduce	9/28/2006	PEG to provide oversight and suggest restorative action	PEG	Increasing			
								9/28/2006	UNDP to provide support in areas of identified weakness.	UNDP				
								30/08/08	Verification of the information provided by all beneficiaries - remove ineligible beneficiaries from the project	CARE				
								30/08/08	Revise beneficiary selection procedure	PEG				
								4/9/2008	Clarify the eligibilty criteria for all involved in beneficiary selection	PEG/UNDP				
								20/03/09	Beneficiary contact information insufficient					
								10/6/2009	DRR unable to locate documentation for many eligible pre-selected beneficiaries	DRR				
2	28/09/2006 (Project Doc)	Legal / regulatory	Resolution of the ongoing land dispute	Critical	Likely	High	Prevent	9/28/2006	MoRR, MoUD and Governor actively involved in discussions with disputing party.	MoUD, MoRR, KG	Increasing			
								9/29/2006	Project site to be reconsidered of project deferred.					
								26/09/2006	Agreement between Government and Qarabagh Shura to reserve 25% of houses at Aliceghan for residents of Qarabagh District					
								20/09/08	Residents of Barikab District who do not meet eligibility criteria refuse to leave the project based on claims their agreement with government supercedes elegibility criteria.					
								14/10/08	Meeting chaired by Kabul Governor to resolve land dispute					
								20/10/08	Letter distributed docuementing resolution reached at previous meeting					
								4/12/2008	Resolution confirmed by project board					

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								15/06/09	Qarabagh Shura believes the Government has not met its obligations according to the agreement		
								30/06/09	Government has stated that the land dispute agreement is invalid		
3	28/09/2006 (Project Doc)	Security	Security restriction	Severe	Seldom	Low	Reduce	9/28/2006	Unarmed / armed security guards on site	CARE	Decreasing
								9/28/2006	MOSS standards to apply to all UNDP staff	UNDP	
								9/28/2006	UNDSS to be regularly informed of activities	UNDP	
								15/09/2008	Landmine (possibly recently planted) on side road near Aliceghan		
								Nov 08	Several minor security incidents at Aliceghan - criminal activity		
								Nov 08	Agreement reached with District Gov and temporary police post established at site.		
								January 09	French CMIC funding construction of a permanent police station near Aliceghan.		
4	28/09/2006 (Project Doc)	Organisational	Lack of beneficiary commitment to engage in the project and fulfill obligations.	Severe	Unlikely	Negligible	Prevent	9/28/2006	Beneficiaries provided with incentives by being paid for work scheme	CARE	Increasing
								9/28/2006	Cash grant to support families during construction.	UNDP	
								9/28/2006	A letter of understanding to be signed with beneficiary.	CARE	
								25/09/08	Nearly all beneficiaries are using contract skilled labour to complete houses as they do not have the skills to complete themselves.	-	
								28/09/08	Masonry training expanded to promote self-build project output	CARE	
								25/06/09	Beneficiary occupancy rate at around 20%. Some beneficiaries leaving site as cash-for-work finishes and water supply is delayed.	UNDP	
5	28/09/2006	Environmental	Water found not to be potable or no	Severe	Unlikely	Negligible	Accept	9/28/2006	Wells to be tested and samples taken	MoUD	Increasing

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	(Project Doc)		water available locally	Critical Severe Moderate Minor Negligible	Certain Very likely Likely Seldom Unlikely	Extreme High Medium Low Negligible	Prevent Reduce Transfer Accept	9/28/2006 25/09/2008 25/09/08	Local knowledge is that water will be available and potable Design and construct infrastructure delivering water from the nearest available source Detailed management plan including management fund to be established to maintain water infrastructure Proposal for expanded water supply infrastructure developed in coordination with UNHCR	- UNDP UNDP	Disappeared Decreasing No change Increasing
6	28/09/2006 (Project Doc)	Environmental	Soil not appropriate for mud-bricks	Minor	Seldom	Low	Accept	9/28/2006	Sand or clay will be transported from alternate site	CARE	No change

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7	11/08/2008 (FdC)	Economic	Construction material cost increases result in increased spending or reduced construction	Severe	Very Likely	High	Accept	7/12/2008 25/09/08	Conduct value engineering reviews towards increasing engineering design efficiency Reduce construction targets without compromising project objectives.	CARE / UNDP PEG	Disappeared
8	11/08/2008 (FdC)	Organisational	Beneficiaries abandon the site during winter.	Severe	Likely	High	Reduce	11/8/2008 25/09/08 25/09/08 12/12/2008	Provide public services including water, education and transport through winter. Provide employment opportunities through winter Provide training opportunities through winter UNDP provided funding for employment project and community services through winter	UNDP / CARE / MoT CARE CARE	Disappeared.
9	26.07.09	Organisational	Beneficiaries fail to occupy completed houses	Severe	Very likely	High	Reduce	29.07.09	Occupancy rate around 20%. Encouraging beneficiaries to relocate via distribution of land ownership documents	UNDP	Increasing
10	29.07.09	Environmental / Organisational	Unoccupied houses are damaged by rain etc	Minor	Likely	Medium	Reduce	29.07.09	Houses damaged during winter were repaired by project. Further damage not anticipated until next winter	UNDP	Decreasing

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11	29.07.09	Political / Legal	Qarabagh Shura withdraws support for use of wells near Qarabagh River	Critical	Likely	Extreme	Reduce	15.06.09 20.07.09	Qarabagh Shura stated water supply should not continue Malik of Qala-e-Shahi visited UNDP to state his people are concerned the wells will lower the water table, and will not support the use of the wells for Aliceghan	UNDP/Gov UNDP/Gov	Increasing
12	29.07.09	Legal	Safety of project staff will be threatened if tension with Qarabagh Shura escalates	Severe	Seldom	Medium	Reduce	29.07.09	Project is proceeding without support of Qarabagh Shura. The Project team has recommended construction of water supply should not commence without support of the Shura to avoid conflict escalation.	UNDP	Increasing
13	29.07.09 (AU)	Organistational	Contractors with specific experience and expertise in water supply infrastructure may not be available to undertake the water supply project	Severe	Seldom	Medium	Reduce	15.06.09 20.06.09	Bid documents incorporated qualification criteria to identify competent contractors Bid documents reviewed by CAWSS and Fichter consultants prior to advertisement	UNDP UNDP	Decreasing

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14	29.07.09 (AU)	Organisational	Teachers may not be available to work at Aliceghan school due to province-wide shortages	Moderate	Likely	Medium	Reduce	09.07.09	Agreement with DoE to accept Aliceghan beneficiaries for examination and employment as government teachers	UNDP/DRR	Decreasing
15	29.07.09 (AU)	Environmental	Extended spring rains may delay the start of the 2009 construction season, reducing time available for construction	Moderate	Certain	High	Accept	15.04.09	Construction started	CARE	No change
17	29.07.09 (AU)	Political	District Government and Ministry of Interior fails to recognise authority of Aliceghan Shura	Minor	Unlikely	Low	Reduce	29.07.09	Involve district gov in shura election process	CARE	Decreasing
16	29.07.09 (AU)	Organisational	Beneficiaries fail to complete construction in a timely manner	Moderate	Likely	Medium	Reduce	15.07.09	Method of cash grant distribution adjusted to encourage beneficiaries to build quickly	CARE	Decreasing
17	29.07.09 (AU)	Environmental	Extended spring rains result in a shortage of bricks available for construction	Moderate	Certain	Medium	Accept	15.07.09	Distribution of bricks staggered to ensure widest possible distribution and least impact on construction time	CARE	Decreasing
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